

## Name Change, KYC update and Direct Credit of Shares.

Dear Investor,

To process the request for **Name change**, updation of KYC details and Direct Credit of Shares, kindly submit the following documents:

1. Signed Request letter for Name Change duly signed by all holders with the declaration confirming that the name as per Certificate and all the documents submitted belong to same person.

|                         |  |
|-------------------------|--|
| Name as per Certificate |  |
| Name Change to :        |  |

2. Original share certificate(s) for endorsement.
3. If Name Change is due to Marriage- Marriage Certificate or copy of valid Passport showing husband's name or publication of name change in official gazette and any document (*Aadhaar, Valid Passport, Driving license, Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions duly attested by their employer with date and organization stamp, PAN card with photograph, Marriage certificate or Divorce decree*) evidencing the old name .
4. If the Name Change is due to reason other than Marriage- Name Change Gazette Notification, along with a valid government-issued identity proof reflecting the old name (*Aadhaar, Valid Passport, Driving license, Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions duly attested by their employer with date and organization stamp, PAN card with photograph, Marriage certificate or Divorce decree*).
5. Duly filled and signed KYC Forms ISR-1, ISR-2, and ISR-3 (or SH-13, as applicable), along with an original cancelled cheque with your name printed on it or a self attested copy of your bank passbook or self attested copy of bank statement
6. Duly filled and signed Form ISR-4.
7. Self-attested copy of PAN Card, valid current address proof and Address proof as per RTA records, in case the address proof as per RTA records is not available, kindly provide declaration mentioning old and current address and stating that address proof as per RTA record is not available.

## Documents for Direct Credit of securities

In compliance with the SEBI Circular HO/38/13/(3)2026-MIRSD-POD/I/3763/2026 dated January 30, 2026 on doing away with the requirement of issuance of Letter of Confirmation ("LOC") and to effect direct credit of securities in the demat account of the investor on receipt of the various investor service requests such as issuance of duplicate securities certificates, transmission, transposition, renewal/exchange of certificates, endorsement, sub-division/splitting of certificates, consolidation of certificates/folios, etc.

To facilitate the direct credit of securities into the demat account,

8. The self-attested latest **Client Master List (CML)** of demat account/BO ID duly signed and verified by DP official with Stamp (the CML should not be older than 2 months).
9. The duly filled and signed **Demat Conversion Request Form (DCRF)** and signature of the BO/s duly verified by the DP official with stamp. Kindly ensure that the appropriate nature of investor service request is selected. In case of a composite request, all relevant checkboxes should be duly selected.

*(Note: In case of lock-in securities, kindly submit a distinct DCRF for free and lock-in securities along with lock-in reason or release date.)*

| <b><u>Investors with an CDSL-registered Depository Participant (DP)</u></b>                                                  | <b><u>Investors with an NSDL-registered Depository Participant (DP)</u></b>                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| a) In cases of Transposition-cum-Dematerialization, the request shall be accompanied by a Transposition Request Form (TPRF). | a) In cases of Transposition-cum-Dematerialization, the request shall be accompanied by <b><u>Transposition along with Dematerialization Conversion Request.</u></b><br>b) In cases of Transmission-cum- Dematerialization, the request shall be accompanied by <b><u>Transmission along with Dematerialization Conversion Request.</u></b><br>c) In case of variation in signature, the request shall be accompanied by <b><u>Signature Variation Form along with Dematerialization Conversion Request.</u></b> |

*(Note: Investor is requested to check the CML to identify whether they have account with NSDL registered DP or CDSL registered DP)*

#### OTP Authentication for Direct Credit

- a) In the process investor an SMS link will be sent to the registered mobile number and email ID available in the BO ID for verification from first / sole holder
- b) First holder has to click on the SMS link provided and access the OTP generation page by entering the BO ID along with first holder PAN as a login authentication.
- c) Once the investor has logged in successfully the screen will display the details such as the Demat Request Number (DRN) and details of securities. For completion of the dematerialisation process, the OTP must be entered into the system and authenticated by the investor, post OTP confirmation the demat account

All the Formats mentioned in the procedure such as ISR-1, ISR-2, SH-13 (ISR-3), ISR-4 & CDSL-Direct Credit-DCRF or NSDL-Direct Credit-DCRF Form are available under the Forms & Procedures/Downloads section on our website: [https://www.bigshareonline.com/resources-sebi\\_circular.aspx#parentHorizontalTab3](https://www.bigshareonline.com/resources-sebi_circular.aspx#parentHorizontalTab3)

#### **Important Instructions –**

1. Kindly ensure that the first eight digits of **Aadhaar number are masked** before submissionAll

ISR forms and the complete set of KYC documents are duly filled in and signed by all registered shareholders.

2. Form ISR 2 is complete in all manner including Email id and Phone number of Bank, email and Phone number of investor, Details of Branch Manager who has attested ISR 2 such as Employee Name, Employee Code, Email of Employee.

Thanking you and assuring you of our best services at all times.

Yours faithfully,  
Bigshare Services Pvt. Ltd.

